

TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
BLUE.65449

In Re Application of: JACOB DE BAAN

Serial No.  
10/662,490

Filing Date  
September 15, 2003

Examiner  
Unknown

Group Art Unit  
Unknown

Title: MOORING APPARATUS

TO THE DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE:

Transmitted herewith is:

1. Transmittal of Information Disclosure Statement (Under 37 CFR 1.97(b) or 1.97(c)) (2 pages)
2. Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement
3. Infomation Disclosure Citation Form PTO-A820 (also form PTO-1449), including four (4) attached copies of foreign references
4. Acknowledgement Postcard

in the above identified application.

No additional fee is required.

A check in the amount of \_\_\_\_\_ is attached.

The Director is hereby authorized to charge and credit Deposit Account No. 21-0800 as described below.

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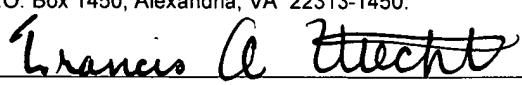
Charge any additional fee required.

  
*Francis A. Utecht*  
Signature

Dated: January 23, 2004

Francis A. Utecht, Reg. No. 16,605  
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Long Beach, California 90802  
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I certify that this document and fee is being deposited on 01/23/2004 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Director of the United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

  
*Francis A. Utecht*

Signature of Person Mailing Correspondence

cc:

Francis A. Utecht

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**BLUE.65449**

In Re Application Of: **JACOB DE BAAN**

Serial No.  
**10/662,495**

Filing Date  
**September 15, 2003**

Examiner  
**Unknown**

Group Art Unit  
**Unknown**

Title: **MOORING APPARATUS**

Address to:

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**BLUE.65449**

In Re Application: **JACOB DE BAAN**

Serial No.

**10/662,490**

Filing Date

**September 15, 2003**

Examiner

**Unknown**

Group Art Unit

**Unknown**

**MOORING APPARATUS**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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*Francis A. Utecht*

*Signature of Person Mailing Correspondence*

Francis A. Utecht

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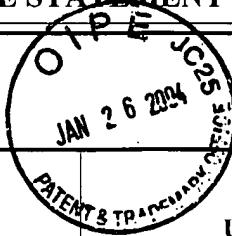
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CC:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
**BLUE.65449**

In Re Application Of: **JACOB DE BAAN**



Serial No.  
**10/662,490**

Filing Date  
**September 15, 2003**

Examiner  
**Unknown**

Group Art Unit  
**Unknown**

Invention: **MOORING APPARATUS**

**TO THE COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

  
*Francis A. Utecht*  
Signature

Dated: **January 23, 2004**

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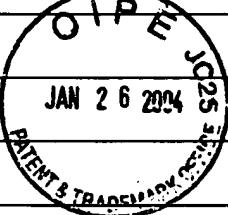
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*Francis A. Utecht*  
Signature of Person Mailing Correspondence

cc:

**Francis A. Utecht**

*Typed or Printed Name of Person Mailing Correspondence*

| <b>INFORMATION DISCLOSURE CITATION</b><br><i>(Use several sheets if necessary)</i>   |                 |   |                | Docket Number (Optional)<br><b>BLUE.65449</b> | Application Number<br><b>10/662,490</b> |             |                            |
|--|-----------------|---|----------------|---|---|-------------|----------------------------|
|  |                 |   |                | Applicant(s)<br><b>JACOB DE BAAN</b>          |   |             |                            |
|  |                 |   |                | Filing Date<br><b>September 15, 2003</b>      | Group Art Unit<br><b>Unknown</b>        |             |                            |
| <b>U.S. PATENT DOCUMENTS</b>   |                 |   |                |   |   |             |                            |
| *EXAMINER INITIAL  | REF             | DOCUMENT NUMBER   | DATE           | NAME  | CLASS                                   | SUBCLASS    | FILING DATE IF APPROPRIATE |
| 1  |                 | 3,668,875   | 06/13/1972     | Sander et al.                                 |   |             |                            |
| 2  |                 | 3,841,501   | 10/15/1974     | Heijst  |   |             |                            |
|  |                 |  |                |   |   |             |                            |
|  |                 |   |                |   |   |             |                            |
|  |                 |   |                |   |   |             |                            |
|  |                 |   |                |   |   |             |                            |
|  |                 |   |                |   |   |             |                            |
|  |                 |   |                |   |   |             |                            |
| <b>FOREIGN PATENT DOCUMENTS</b>  |                 |   |                |   |   |             |                            |
| REF  | DOCUMENT NUMBER | DATE  | COUNTRY        | CLASS   | SUBCLASS                                | Translation |                            |
|  |                 |   |                |   |   | YES         | NO                         |
| 3  | FR 1 421 700    | 12/17/1965  | France         |   |   |             |                            |
| 4  | FR 1 465 759    | 01/13/1967  | France         |   |   |             |                            |
| 5  | WO 82 01859     | 06/10/1982  | PCT            |   |   |             |                            |
| 6  | GB 2 056 391    | 03/18/1981  | United Kingdom |   |   |             |                            |
| <b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>   |                 |   |                |   |   |             |                            |
|  |                 |   |                |   |   |             |                            |
|  |                 |   |                |   |   |             |                            |
| EXAMINER   |                 |   |                | DATE CONSIDERED                               |   |             |                            |
| <b>EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |   |                |   |   |             |                            |